

Goal

To understand how concurrent processes can reliably cooperate in the presence of errors

Topics

- Atomic actions
- Backward error recovery
- Forward error recovery
- Asynchronous notifications
- POSIX signals
- Asynchronous Event Handling in RTJ
- Asynchronous Transfer of Control (ATC) in Ada
- ATC in Java

Atomic Actions — Motivation

- Concurrent processes enable parallelism in the real world to be reflected in programs
- The interaction between 2 processes has been expressed in terms of a single communication; this is not always the case
- E.g., withdrawal from a bank account may involve a ledger process and a payment process in a sequence of communications to authenticate the drawer, check the balance and pay the money
- It may be necessary for more than two processes to interact in this way to perform the required action
- The processes involved must see a consistent system state
- With concurrent processes, it is all too easy for groups of processes to interfere with one other
- What is required is for each group of processes to execute their joint activity as an indivisible or atomic action

Atomic Actions — Definition

An action is atomic if the processes performing it:

- are not aware of the existence of any other active process, and no other active process is aware of the activity of the processes during the time the processes are performing the action
- do not communicate with other processes while the action is being performed
- can detect no state change except those performed by themselves and if they do not reveal their state changes until the action is complete
- can be considered, so far as other processes are concerned, to be indivisible and instantaneous, such that the effects on the system are as if they were interleaved as opposed to concurrent

Nested Actions

- Although an atomic action is viewed as being indivisible, it can have an internal structure
- To allow modular decomposition of atomic actions, the notion of a nested atomic action is introduced
- The processes involved in a nested action must be a subset of those involved in the outer level of the action
- If this were not the case, a nested action could smuggle information concerning the outer level action to an external process.
- The outer level action would then no longer be indivisible

Atomic Transactions

- In operating systems and databases, the term atomic transaction is often used
- An atomic transaction has all the properties of an atomic action plus the added feature that its execution is allowed either to succeed or fail
- By failure, it is meant that an error has occurred from which the transaction cannot recover — normally a processor failure
- With an atomic transaction, all components used are returned to their original state (that is the state they were before the transaction commenced)
- Atomic transactions are sometimes called recoverable actions or atomic actions

Properties of Atomic Transactions

- Failure atomicity the transaction must complete successfully or have no effect
- Synchronization atomicity (or isolation) partial execution cannot be observed by any concurrently executing transaction
- Not entirely suitable for programming fault-tolerant systems because they imply that some form of recovery mechanism will be supplied by the system
- Such a mechanism would be fixed, with the programmer having no control over its operation
- Atomic transactions provide a form of backward error recovery but do not allow recovery procedures to be performed
- Notwithstanding these points, atomic transactions do have a role in protecting the integrity of a real-time system database

Requirements for Atomic Actions

Well-defined boundaries

- A start, end and a side boundary
- The start and end boundaries are the locations in each process where the action is deemed to start and end
- The side boundary separates those processes involved in the action from those in the rest of the system

Indivisibility

- No exchange of information between processes active inside and those outside (resource managers excluded).
- The value of any shared data after the actions is determined by the strict sequencing of the actions in some order
- There is no synchronization at the start. Processes can enter at different times
- Processes are not allowed to leave the atomic action until all are willing and able to leave

Requirements for Atomic Actions

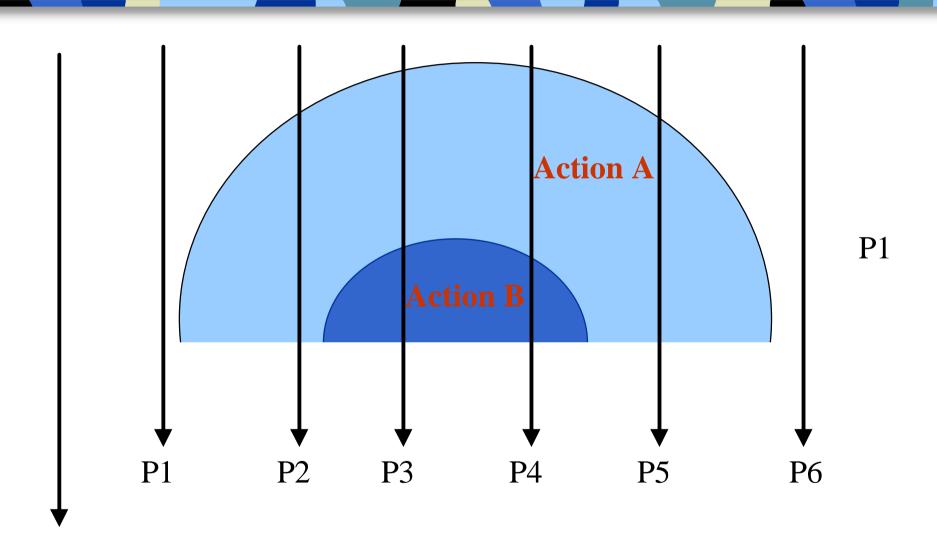
Nesting

- Atomic actions may be nested as long as they do not overlap with other atomic actions
- Only strict nesting is allowed (two structures are strictly nested if one is completely contained within the other)

Concurrency

- It should be possible to execute different atomic actions concurrently
- Sequential execution could impair the performance of the overall system and should be avoided
- Nevertheless, the overall effect of running a collection of atomic actions concurrently must be the same as that which would be obtained from serialising their executions
- They must allow recovery procedures to be programmed

Nested Atomic Actions



time

Language Structure

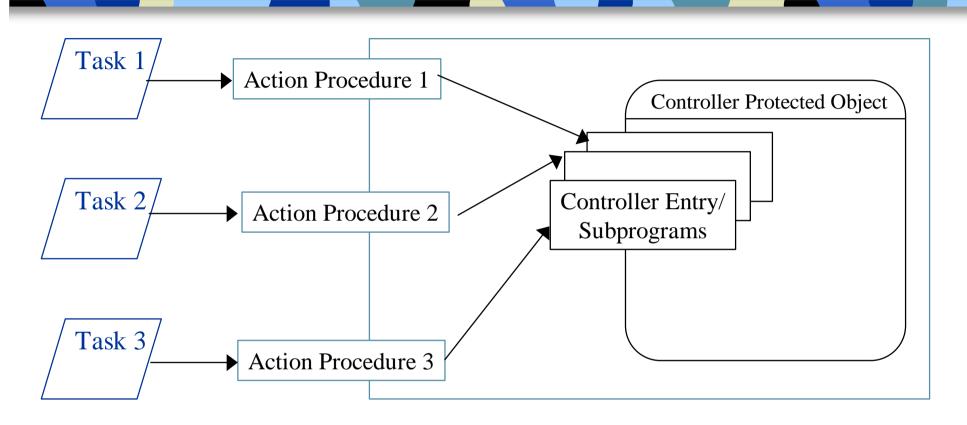
```
action A with (P2, P3, . . .) do
   . . .
   -- can only communication with p2, P3 etc
   -- and use local variables
end A;
```

No mainstream language or OS supports atomic action

Atomic Actions in Ada

- The extended rendezvous in Ada enables a common form of atomic action where a task communicates with another task to request some computation; the called task undertakes this execution and then replies via the out parameters of the rendezvous
- The atomic action takes the form of an accept statement; it possesses synchronization atomicity as long as;
 - it does not update any variable that another task can access, and
 - it does not rendezvous with any other task
- An atomic action in Ada for three tasks could be programmed with a nested rendezvous, however, this would not allow any parallelism within the action
- An alternative model is to create an action controller and to program the required synchronization
 © Alan Burns and Andy Wellings, 2001

Ada and Atomic Actions



- Each atomic action is implemented by a package
- Roles are identified, each role is represented by a procedure in the package specification
- A task must associate itself with a role
- Each role can only have one active task



Action Controller

Role 1

Entry Protocol

action component

Exit Protocol

leave

Role 2

Entry Protocol

action component

Exit Protocol

leave

Role 3

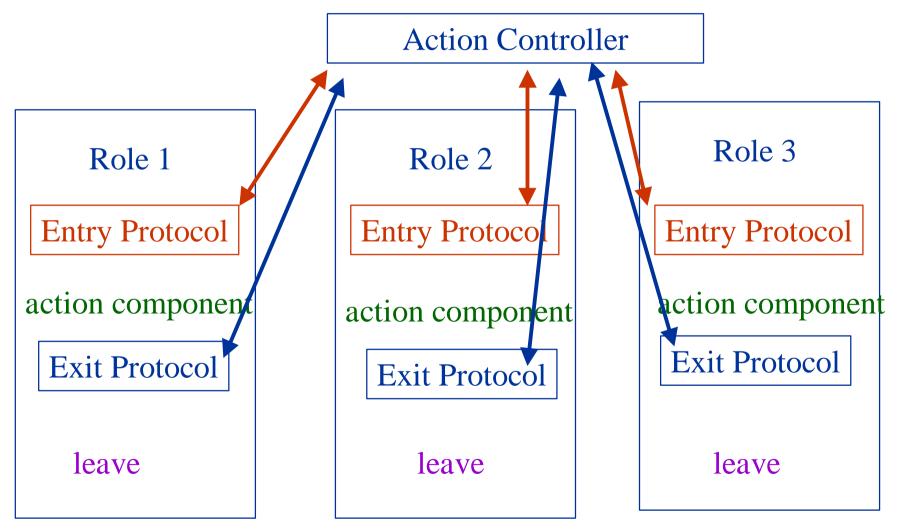
Entry Protocol

action component

Exit Protocol

leave

Structure



Ada Code

```
package Action_X is
    procedure Code_For_First_Task(--params); -- Role1
    procedure Code_For_Second_Task(--params);-- Role2
    procedure Code_For_Third_Task(--params); -- Role3
end Action_X;
```

package body Action_X is

```
protected Action_Controller is
  entry First;
  entry Second;
  entry Third;
  entry Third;
  entry Finished;
private
  First_Here : Boolean := False;
  Second_Here : Boolean := False;
  Third_Here : Boolean := False;
  Release : Boolean := False;
end Action_Controller;
```

```
protected body Action_Controller is
  entry First when not First_Here is
  begin First_Here := True; end First;
  -- similarly for second, third
```

```
entry Finished when Release or Finished'Count = 3 is
begin
```

```
if Finished'count = 0 then
    Release := False; First_Here := False;
    Second_Here := False; Third_Here := False;
    else Release := True; end if;
    end Finished;
end Action Controller;
```

Ada Code

procedure Code_For_First_Task(--params) is
begin

Action_Controller.First;

-- acquire resources; the action itself,

-- communication via resources

Action_Controller.Finished;

-- release resources

end Code_For_First_Task;

-- similar for second and third task

begin

-- any initialization of local resources
end Action_X;

No recovery yet

- Only part encapsulation can not stop communication with other tasks (unless insist on no with clauses??)
- Action controller could use semaphores, monitors etc

Atomic Actions in Java

First, an interface can be defined for a three-way atomic action

public interface ThreeWayAtomicAction

```
{
  public void role1();
  public void role2();
  public void role3();
}
```

- Using this interface, it is possible to provide several action controllers that implement a variety of models
- Applications can then choose the appropriate controller without having to change their code



Action Controller

Role 1

Entry Protocol

action component

Exit Protocol

leave

Role 2

Entry Protocol

action component

Exit Protocol

leave

Role 3

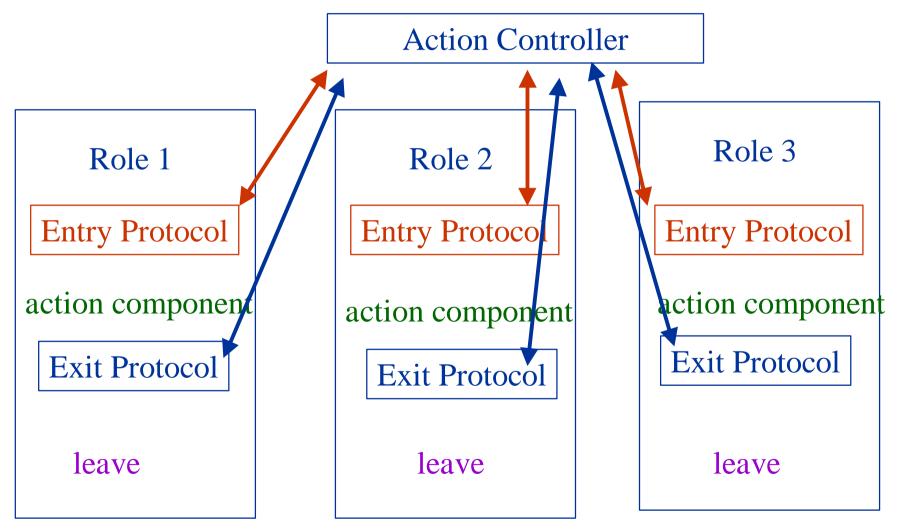
Entry Protocol

action component

Exit Protocol

leave

Structure



```
public class AtomicActionControl implements ThreeWayAtomicAction
  protected Controller Control;
  public AtomicActionControl() // constructor
   Control = new Controller();
 class Controller
   protected boolean firstHere, secondHere, thirdHere;
   protected int allDone;
   protected int toExit;
   protected int numberOfParticipants;
   Controller()
     firstHere = false;
     secondHere = false;
     thirdHere = false;
     allDone = 0;
     numberOfParticipants = 3;
     toExit = numberOfParticipants;
```

```
synchronized void first() throws InterruptedException
 while(firstHere) wait();
  firstHere = true;
synchronized void second() throws InterruptedException
 while(secondHere) wait();
  secondHere = true;
synchronized void third() throws InterruptedException
 while(thirdHere) wait();
 thirdHere = true;
```

```
synchronized void finished() throws InterruptedException
ł
  allDone++;
  if(allDone == numberOfParticipants) {
    notifyAll();
  } else while(allDone != numberOfParticipants) {
       wait();
  toExit--;
  if(toExit == 0) {
    firstHere = false;
    secondHere = false;
    thirdHere = false;
    allDone = 0;
    toExit = numberOfParticipants;
    notifyAll();
    // release processes waiting for the next action
```

```
public void role1()
  boolean done = false;
  while(!done) {
                                    Entry protocol
    try {
      Control.first();
      done = true;
    } catch (InterruptedException e) { // ignore }
  // .... perform action
  done = false;
  while(!done) {
                                    Exit protocol
    try {
      Control.finished();
      done = true;
    } catch (InterruptedException e) { // ignore }
};
```

```
public void role2()
{
   // similar to role1
}
```

```
public void role3()
{
    // similar to role1
}
```

A Four-Way Atomic Action

```
public interface FourWayAtomicAction
        extends ThreeWayAtomicAction {
    public void role4();
}
```

public class NewAtomicActionControl
 extends AtomicActionControl
 implements FourWayAtomicAction

```
public NewAtomicActionControl()
{
    C = new RevisedController();
}
```

```
class RevisedController extends Controller
{
   protected boolean fourthHere;
```

```
RevisedController() {
   super();
   fourthHere = false;
   numberOfParticipants = 4;
   toExit = numberOfParticipants;
}
synchronized void fourth() throws InterruptedException
{
   while(fourthHere) wait();
   fourthHere = true;
}
```

```
synchronized void finished()
    throws InterruptedException
```

```
super.finished();
if(allDone == 0) {
  fourthHere = false;
  notifyAll();
}
```

Have overridden the finish methods

All calls now dispatch to this method, consequently it must call the parent method

```
public void role4()
  boolean done = false;
  while(!done) {
    try {
      // As C is of type Controller, it must first
      // be converted to a RevisedController in order
      // to call the fourth method
      ((RevisedController)C).fourth();
      done = true;
    } catch (InterruptedException e) { // ignore }
  // .... perform action
  done = false;
  while(!done) {
    try {
      Control.finished();
      done = true;
    } catch (InterruptedException e) { // ignore }
```

Backward Error Recovery — Conversations

- Consider 3 processes, each process names participates in the action via an action statement
- Within the statement, there is a recovery block: eg P1: action A with (P2, P3) do ensure <acceptance test> by -- primary module else by -- alternative module else by -- alternative module else error end A;
- On entry, the state of a process is saved; the set of entry points forms the recovery line
 © Alan Burns and Andy Wellings, 2001

Conversations

- Whilst inside, a process is only allowed to communicate with other processes active in the conversation and general resource managers
- In order to leave, all processes active in must have passed their acceptance test
- If passed, the conversation is finished and all recovery points are discarded
- If any process fails the test, all processes have their state restored and they execute their alternative modules
- Conversations can be nested, but only strict nesting is allowed
- If all alternatives fail, recovery must be performed at a higher level

Conversations

- In the original definition of conversations, all processes taking part must have entered before any of the other processes can leave
- Here, if a process does not enter, as long as the other processes active in the conversation do not wish to communicate with it then the conversation can complete
- If a process does attempt communication, it can either block and wait for the process to arrive or it can continue
- This allows conversations to be specified where participation is not compulsory
- It allows processes with deadlines to leave the conversation, continue and if necessary take some alternative action

Criticisms of Conversations

- Conversations can be criticised; when a conversation fails, all the processes are restored and all enter their alternatives
- This forces the same processes to communicate again to achieve the desired effect
- This may be not what is required; in practice when one process fails to achieve its goal in a primary module, it may wish to communicate with a completely new group of processes in its secondary module
- Also, the acceptance test for this secondary module may be quite different
- There is no way to express these requirements using conversations
- Dialogs and Colluquys ——SEE BOOK

Atomic Actions and Forward Error Recovery

If an exception occurs in one process, it is raised asynchronously in all processes active in the action action A with (P2, P3) do -- the action exception when exception_a => -- sequence of statements when exception_b => -- sequence of statements when others => raise atomic_action_failure; end A;

Both termination and resumption models are possible

If there is no handler in any one processes active in the action or one of the handlers fails then the atomic action fails with a standard exception atomic_action_failure; This exception is raised in all the involved processes

Resolution of Concurrently Raised Exceptions

- Many process may raise different exceptions at the same time; this is likely if the error can not be uniquely identified by the error detection facility in action components
- If two exceptions are simultaneously raised, there may be two separate handlers in each process; the two exceptions in conjunction constitute a third which is the exception which indicates that both the other two exceptions have occurred.
- To resolve concurrently raised exceptions, exception trees can be used; here the handler is that at the root of the smallest subtree that contains all the exceptions
- It is not clear how to combined any parameters associated with this exception
- Each atomic action component can declare its own unique exception tree

Exceptions and Nested Atomic Actions Action A P1 Action **B Exception** raised here **P1** P2 P3 P4 P5 P6

time

Exceptions and Nested Atomic Actions

- One process active in an action may raise an exception when other processes in the same action are involved in a nested action
- All processes involved must participate in the recovery action; unfortunately, the internal action is indivisible!

Exceptions and Nested Atomic Actions

Two possible solutions to this problem

- 1 Hold back the raising of the exception until the internal action has finished
 - The exception may be associated with the missing of a deadline
 - The error condition detected may indicate that the internal action may never terminate because some deadlock condition has arisen
- 2 Allow internal actions to have a predefined abortion exception; this indicates that an exception has been raised in a surrounding action and that the pre-conditions under which it was invoked are no longer valid
 - If raised, the internal action should abort itself. Once the action has been aborted, the containing action can handle the original exception. If cannot abort itself, it must signal failure exception.
 - If no abortion exception is defined, the surrounding action must wait for the internal action to complete

Asynchronous Notifications

- None of the major RT languages/OSs support atomic actions
- They do support asynchronous notifications: a mechanism whereby one process can gain the attention of another without the latter waiting
- This can be used as a basis for error recovery between concurrent systems
- As with exception handling: resumption and termination models:
- The resumption model behaves like a software interrupt
- With the termination model, each process specifies a domain of execution during which it is prepared to receive an asynchronous event; after an event has be handled, control is returned to the interrupted process at a location different to that where the event was delivered

The User Need for Asynchronous Notification

- Fundamental requirement: to enable a process to respond quickly to a condition detected by another process
- Error recovery to support atomic actions
- Mode changes where changes between modes are expected but cannot be planned.
 - a fault may lead to an aircraft abandoning its take-off and entering into an emergency mode of operation;
 - an accident in a manufacturing process may require an immediate mode change to ensure an orderly shutdown of the plant.
 - The processes must be quickly and safely informed that the mode in which they are operating has changed, and that they now need to undertake a different set of actions

The User Need for Asynchronous Notification

- Scheduling using partial/imprecise computations there are many algorithms where the accuracy of the results depends on how much time can be allocated to their calculation.
 - numerical computations, statistical estimations and heuristic searches may all produce an initial estimation of the required result, and then refine that result to a greater accuracy.
 - At run-time, a certain amount of time can be allocated to an algorithm, and then, when that time has been used, the process must be interrupted to stop further refinement of the result.
- User interrupts Users in a general interactive computing environment, users often wish to stop the current processing because they have detected an error condition and wish to start again

Polling

Polling for the notification is too slow. It can be argued that the process could be aborted and recreated quickly enough, however, this is probably more error prone than providing direct support

Asynchronous Event Handling

- RTJ asynchronous events (ASE) are similar to POSIX signals (there is a class which allows POSIX signals to be mapped onto RTJ events)
- There are three main classes associated ASEs:
 - AsynEvent
 - AsyncEventHandler
 - BoundAsyncEventHandler
- Each AsyncEvent can have one or more handlers
- When the event occurs all the handlers associated with the event are scheduled for execution
- The firing of an event can also be associated with the occurrence of an implementation-dependent external action by using the bindTo method

Asynchronous Events

```
public class AsyncEvent
{
```

```
public AsyncEvent();
```

```
public synchronized void addHandler(AsyncEventHandler handler);
public synchronized void removeHandler(
```

```
AsyncEventHandler handler);
```

```
public void setHandler(AsyncEventHandler handler);
```

```
public void bindTo(java.lang.String happening);
```

```
// bind to external event
```

- - -

```
public ReleaseParameters createReleaseParameters();
// creates a ReleaseParameters object representing the
//characteristics of this event
```

public void fire();
// Execute the run() methods of the set of handlers

```
© Alan Burns and Andy Wellings, 2001
```

Asynchronous Event Handlers

public abstract class AsyncEventHandler implements Schedulable
{

public void addToFeasibility();

public void removeFromFeasibility();

protected int getAndClearPendingFireCount();

public abstract void handleAsyncEvent();
// Override to define the action to be taken by the handler

public final void run();

Bound Asynchronous Event Handlers

public abstract class BoundAsyncEventHandler
 extends AsyncEventHandler

public BoundAsyncEventHandler();

// other constructors

ł

}

Timers (see later)

public abstract class Timer extends AsyncEvent

```
public AbsoluteTime getFireTime();
public void reschedule(HighResolutionTimer time);
public Clock getClock();
```

```
public void disable();
public void enable();
```

public void start(); // start the timer ticking



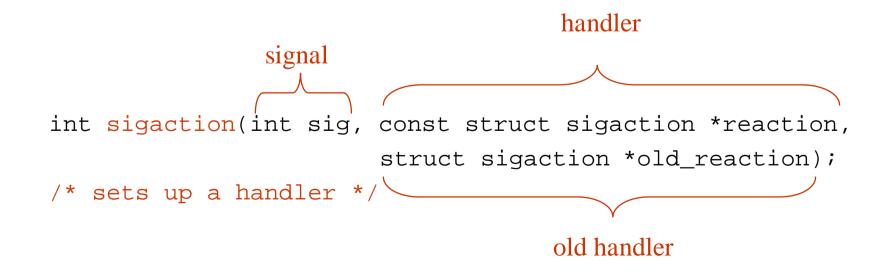
- Used for a class of environment-detected synchronous errors (such as divide by zero, illegal pointer)
- There are a number of pre-defined signals each of which is allocated an integer value. e.g. SIGALARM, SIGILL
- Also an implementation-defined number of signals which are available for application use: SIGRTMIN .. SIGRTMAX
- Each signal has a default handler, which usually terminates the receiving process
- A process can block, handle or ignore a signal
- A signal which is not blocked and not ignored is delivered as soon as it is generated; a signal which is blocked is pending delivery

C Interface to POSIX Signals

```
union sigval {
  int sival_int;
  void *sival_ptr;
};
                                                         Mainly
                                                         used for
typedef struct {
                                                         message
  int si_signo; /* signal number */
                                                         queues,
  int si_code; /* cause of signal */
                                                         timers and
  union sigval si_value; /* integer or pointer
                                                         real-time
                                                         signals
 siginfo_t;
```

typedef ... sigset_t; /* implementation dependent */

```
struct sigaction { /* information about the handler */
void (*sa_handler) (int signum);
    /* non real-time handler */
void (*sa_sigaction) (int signum, siginfo_t *data,
    void *extra); /*real-time handler */
sigset_t sa_mask; /* signals to mask during handler */
int sa_flags; /*indicates if signal is to be queued */
};
```



/* the following functions allow a process
 to wait for a signal */

```
int sigsuspend(const sigset_t *sigmask);
/* wait for a non-blocking signal and the handler to complete */
```

```
int sigwaitinfo(const sigset_t *set, siginfo_t *info);
/* as sigsuspend, but handler not called */
/* information returned returned */
```

int sigprocmask(int how, const sigset_t *set, sigset_t *oset);
/* manipulates a signal mask, how:

/* = SIG_BLOCK -> the set is added to the current set

/* = SIG_UNBLOCK -> the set is subtracted

/* = SIG_SETMASK -> the given set becomes the mask */

/* allow a signal set to be created and manipulated */
int sigemptyset(sigset_t *s); /* initialise a set to empty */
int sigfillset(sigset_t *s); /* initialise a set to full */
int sigaddset(sigset_t *s, int signum); /* add a signal */
int sigdelset(sigset_t *s, int signum); /* remove a signal */
int sigismember(const sigset_t *s, int signum);

/* returns 1 if member */

int kill (pid_t pid, int sig);
 /* send the signal sig to the process pid */

Mode Change Example

#include <sig.h>

```
#define MODE_A 1
#define MODE_B 2
#define MODE_CHANGE SIGRTMIN +1
```

```
int mode = MODE_A;
```

```
int main() {
 sigset t mask, omask;
 struct sigaction s, os;
 int local mode;
 SIGEMPTYSET(&mask); /* create a signal mask */
 SIGADDSET(&mask, MODE CHANGE);
 s.sa_flags = SA_SIGINFO; /* use 3 argument handler */
 s.sa mask = mask; /* additional signals blocked
                     during handler */
 s.sa_sigaction = &change_mode;
```

s.sa_handler = &change_mode;

while(1) {

```
SIGPROCMASK(SIG_BLOCK, &mask, &omask);
                                                       signals
                                                       masked
local mode = mode;
                                                      here
SIGPROCMASK(SIG_UNBLOCK, &mask, &omask);
/* periodic operation using mode*/_
switch(local_mode) {
  case MODE_A:
     . . .
                                                Signal occuring here
    break;
                                                are acted upon
  case MODE B:
                                                immediately;
                                                however, the
     . . .
    break;
                                                application only
  default:
                                                responds on each
                                                iteration
     . . .
SLEEP(. . .);
                   Note, sleep wakes up if signal called
```

POSIX Threads and Atomic Actions

- Two approaches to implementing an atomic action-like structure between threads:
 - 1 Use a signals, setjmp and longjmp to program the required coordination. Unfortunately, longjmp and all the thread system calls are async-signal unsafe. This means that any communication and synchronization between threads using mutexes and condition variables must be encapsulated between calls for blocking and unblocking signals. The resulting algorithm can become complex.
 - 2 Use thread creation and cancelling to program the required recovery. As threads are cheap, this approach does not have the same performance penalty as more heavy-weight process structure.
- The need for these approaches comes from the use of the resumption model; a more straightforward structure is obtainable if a termination model is supported

Asynchronous Notification in Ada

- The abort statements
- Asynchronous Transfer of Control (the asynchronous select statement)

The Abort Statement

- Intended for use in response to those error conditions where recovery by the errant task is not deemed possible
- Any task may abort any other named task
- Tasks which are aborted become abnormal and are prevented from interacting with other tasks
- Any non-completed tasks that depend on the aborted tasks also become abnormal
- When a task becomes abnormal, every construct it is executing is aborted immediately unless it is included in an abort-deferred operation

Abort Completion

- If a construct is blocked outside an abort-deferred operation (other than at an entry call), it is immediately completed
- Other constructs must complete no later than
 - the end of activation of a task
 - when it activates another task
 - the start or end of an entry call, accept statement, delay statement or abort statement
 - the start of the execution of a select statement, or the sequence of statements in an exception handler

A task which does not reach any of these points need not be terminated!

Real-Time Annex requires any delay to be documented

Abort-deferred Operations

a protected action

- waiting for an entry call to complete (after having initiated the attempt to cancel it as part of the abort)
- waiting for termination of dependent tasks
- executing an Initialize, Finalize, or assignment operation of a controlled object
- certain actions within these operations result in bounded error:
 - the execution of an asynchronous select statement
 - the creation of tasks whose master is within the operation

Use of the Abort Statement

- "An abort statement should be used only in situations requiring unconditional termination." ARM 9.8
- "The existence of this statement causes intolerable overheads in the implementation of every other feature of tasking. Its 'successful' use depends on a valid process aborting a wild one before the wild one aborts a valid process — or does any other serious damage. The probability of this is negligible. If processes can go wild, we are much safer without aborts." C.A.R. Hoare (On Ada 83)

Even so, the abort is considered to be a valid real-time requirement, and Ada makes every effort to ensure that the facility can be used as safely as possible, given its inherently dangerous nature.

The Asynchronous Select Statement

```
asynchronous select ::=
    select
      triggering alternative
    then abort
      abortable part
    end select;
triggering_alternative ::=
               triggering statement
               [sequence of statement]
triggering_statement ::= entry_call_statement
                          delay statement
abortable_part ::= sequence_of_statements
```

must not contain an accept statement

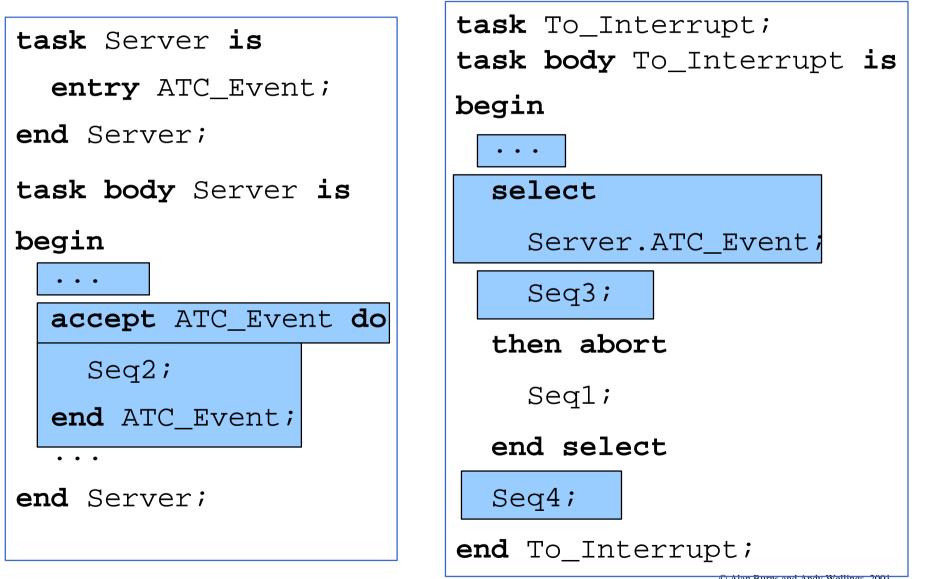
Semantics I

- First the triggering statement is executed
- If the entry call is queued (or the delay time has not passed), the abortable part begins its execution
- If the abortable part completes before the completion of the entry call (or before the delay time expires), the entry call (or delay) is cancelled
- When cancellation of the entry call or the delay completes, the select statement is finished
- Cancellation of the delay happens immediately, cancellation of the entry call may have to wait if the rendezvous or protected action is in progress (until it has finished)

Semantics II

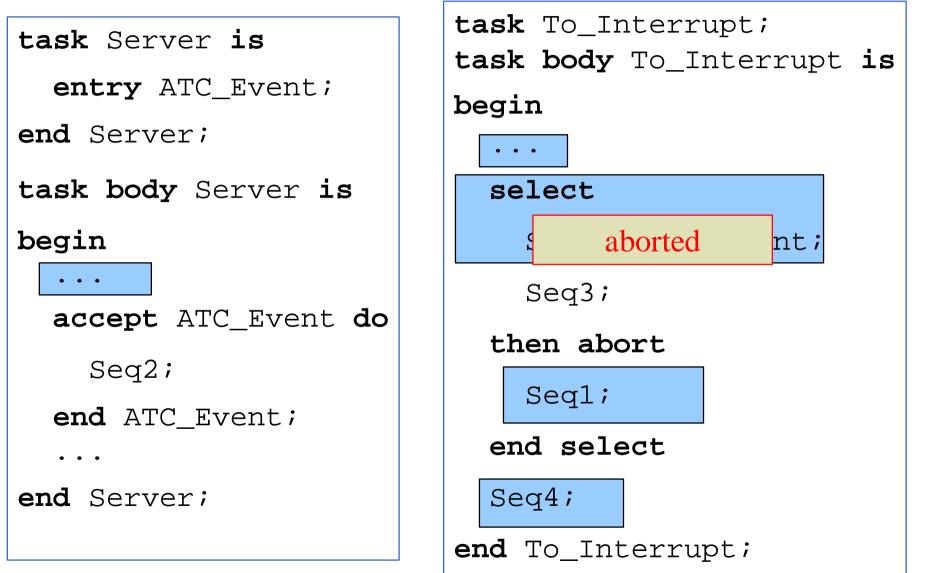
- If the triggering event completes, the abortable part is aborted (if not already completed) and any finalisation code is executed
- When these activities have finished, the optional sequence of statements following the triggering event is executed
- Note: If the triggering entry call is executed, then even if the abortable part completes, the optional sequence of statements following the triggering event is executed
- If the triggering event occurs before the abortable can start, the abortable part is not executed

Example: Rendezvous Available Immediately



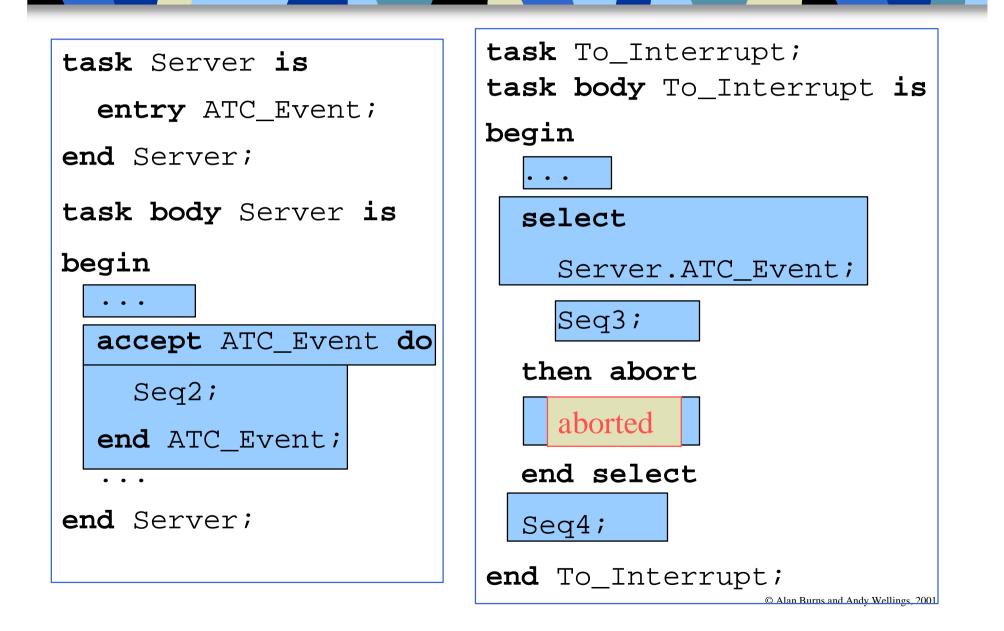
© Alan Burns and Andy Wellings, 2001

No Rendezvous before Seq1 Finishes

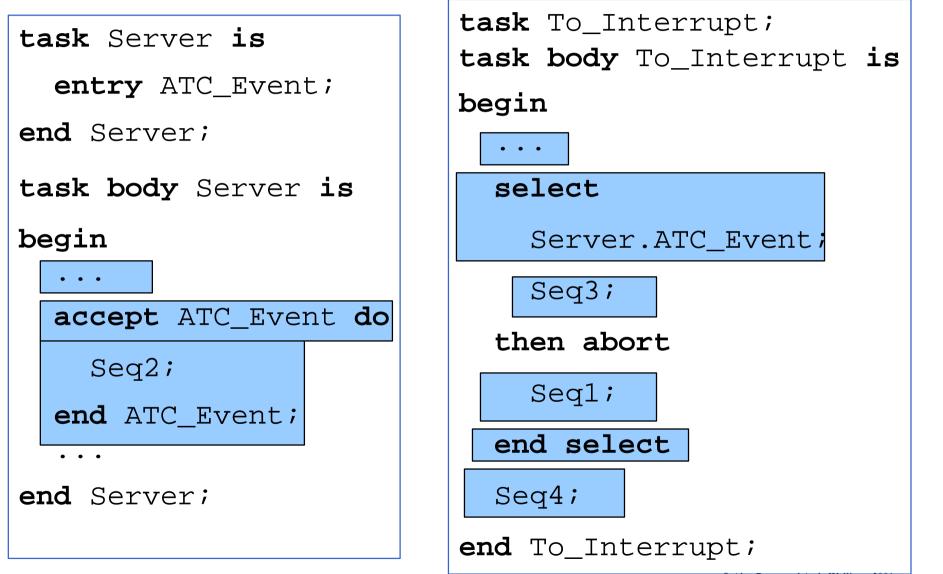


© Alan Burns and Andy Wellings, 2001

Rendezvous Finishes before Seq1



Rendezvous Finishes after Seq1



© Alan Burns and Andy Wellings, 2001

Sequence of Events

if the rendezvous is available immediately then

Server.ATC_Event is issued

Seq2 is executed

Seq3 is executed

Seq4 is executed

else if no rendezvous starts before Seq1 finishes then

Server.ATC_Event is issued

Seq1 is executed

Server.ATC_Event is cancelled

Seq4 is executed

Sequence of Events Continued

else if the rendezvous finishes before Seq1 finishes then

Server.ATC_Event is issued partial execution of Seq1 occurs *concurrently* with Seq2 Seq1 is aborted and finalised Seq3 is executed Seq4 is executed

else (the rendezvous finishes after Seq1 finishes)

Server.ATC_Event is issued Seq1 is executed *concurrently* with partial execution of Seq2 Server.ATC_EVENT cancellation is attempted execution of Seq2 completes Seq3 Seq4

Exceptions and ATC

- With the asynchronous select statement, two activities are potentially executing concurrently
- Both can raise exceptions
- The one from the abortable part is lost, if the abortable part is aborted

Example of ATC — Error Recovery

type Error_ID is (Err1, Err2, Err3);

package Error_Notification is new Broadcasts(Error_ID);

Error_Occurred : Error_Notification.Broadcast;

task type Interested_Party;

task Error_Monitor;

task body Error_Monitor is

begin

• • •

-- when error detected

Error_Occurred.Send(Error);

• • •

end Error_Monitor

a protected type

Error Recovery II

```
task body Interested Party is
  Reason : Error ID;
begin
  loop
    select
      Error Occurred.Receive(Reason);
      case Reason is
        when Err1 => -- appropriate recovery action
        when Err2 => -- appropriate recovery action
        when Err3 => -- appropriate recovery action
       end case;
    then abort
      loop -- normal operation end loop;
    end select;
  end loop;
end Interested_Party;
```

Deadline Overrun Detection

```
with Ada.Real_Time; use Ada.Real_Time;
task Critical;
```

```
task body Critical is
```

```
Deadline : Real_Time.Time := ...;
```

```
begin
```

```
select
delay until Deadline;
    -- recovery action
then abort
    -- enter time critical section of code
end select;
....
```

```
end Critical;
```

Mode Changes

```
with Persistent_Signals; use Persistent_Signals;
with Calendar; use Calendar;
. . .
type Mode is (Non Critical, Critical);
Change Mode : Persistent Signal;
task Sensor Monitoring;
task body Sensor Monitor is
  Current Mode : Mode := Non Critical;
  Next Time : Time := Clock;
  Critical Period : constant Duration := 1.0;
  Non Critical Period : constant Duration := 10.0;
  Current_Period : Duration := Non_Critical_Period;
begin
```

Mode Change II

```
loop
    select Change Mode.Wait;
      if Current_Mode = Critical then
        Current_Mode := Non_Critical;
        Current_Period := Non_Critical_Period;
      else Current Mode := Critical;
           Current Period := Critical Period; end if;
      Next Time := Clock; -- say
    then abort
      loop
        -- read sensor etc.
        delay until Next Time;
        Next Time := Next Time + Current Period;
      end loop;
    end select;
  end loop;
end Sensor Monitor;
```

Understanding ATC

- Interaction with the Delay Statement
- Interaction with Timed Entry Calls
- Interaction with Multiple Entry Calls
- Nested ATC
- Interaction with Exceptions

Interaction with the Delay Statement

task body A is
 T : Time;
 D : Duration;
begin
 ...
 select
 delay until T;
 then abort
 delay D;
 end select;
end A;

task body B is
 T : Time;
 D : Duration;
begin
 ...
 select
 delay D;
 then abort
 delay until T;
 end select;
end B;

Are these equivalent?

Interaction with Timed Entry Calls

task body A is	task body B is	task body C is
T : Time;	T : Time;	T : Time;
begin	begin	begin
select	select	select
delay until $T;$	Server.Entry1;	Server.Entry1;
S1;	S1;	S1;
then abort	then abort	or
Server.Entry1;	delay until T;	delay until $T;$
S2;	S2	S2
end select;	end select;	end select;
end A;	end B;	end C;

Very similar structures, all slightly different behaviours

© Alan Burns and Andy Wellings, 2001

Rendezvous Starts and Finishes Before Timeout

task body A is	task body B is	task body C is
T : Time;	T : Time;	T : Time;
begin	begin	begin
select	select	select
delay until T;	Server.Entry1	; Server.Entry1;
S1;	S1;	S1;
then abort	then abort	or
Server.Entry1;	abort until T	; delay until T;
abort	SZ	S2
end select;	end select;	end select;
end A;	end B;	end C;

Rendezvous Starts Before Timeout but Finishes After Timeout

task body A is	task body B is	task body C is
T : Time;	T : Time;	T : Time;
begin	begin	begin
select	select	select
delay until T;	Server.Entry1;	Server.Entry1;
S1;	S1;	S1;
then abort	then abort	or
Server.Entry1;	delay until T;	delay until T;
S2;	abort	S2
end select;	end select;	end select;
end A;	end B;	end C;

Timeout Occurs Before the Rendezvous Starts

task body A is	task body B is	task body C is
T : Time;	T : Time;	T : Time;
begin	begin	begin
select	select	select
delay until T;	Server.Entry1;	Server.Entry1;
S1;	S1;	S1;
then abort	then abort	or
Server.Entry1;	delay until T;	delay until T;
S2;	abort	S2
end select;	end select;	end select;
end A;	end B;	end C;

Timed Entry Calls

- Rendezvous with <u>Server</u> starts and finishes before timeout
 - A executes the rendezvous and then attempts S2, if S2 does not complete before the timeout it is abandoned and S1 is executed
 - B executes the rendezvous and then S1
 - C executes the rendezvous and S1
- The rendezvous starts before the timeout but finishes after the timeout
 - A executes the rendezvous and S1
 - B executes the rendezvous, S1 and part of S2
 - C executes the rendezvous and S1

Timed Entry Calls II

The timeout occurs before the rendezvous started

- A executes S1
- B executes part or all of S2 and possibly the rendezvous and S1
- C executes S2

A Timed Entry Call?

task body C is T : Time; begin Occurred := False;select delay until T; then abort Server1.Entry1(Occurred); -- Occurred set to True in Server1 end select; if Occurred then S1; else S2; end if; end C;

Interaction with Multiple Entry Calls

task body A is

T : Time;

begin

• • •

select

TaskC.Entry1;

then abort

TaskD.Entry1;

end select;

end A;

task body B is T : Time; begin . . . select TaskD.Entry1; then abort TaskC.Entry1; end select; end B;

Consider:

- 1. TaskC.Entry1 becomes available first:
 - TaskA will rendezvous with TaskC and possibly TaskD (if the rendezvous becomes available before the rendezvous with TaskC completes)
 - TaskB will rendezvous with TaskC and possibly TaskD
- 2. TaskD.Entry1 becomes ready first:
 - Similar to above
- 3. TaskC.Entry1 and TaskD.Entry1 are both ready:
 - TaskA will rendezvous with TaskC only
 - TaskB will rendezvous with TaskD only

Nested Asynchronous Select Statements

task body A is begin

select
B.Entry1;
then abort
select
C.Entry1;
then abort
Seq;

end select; end select;

end A;

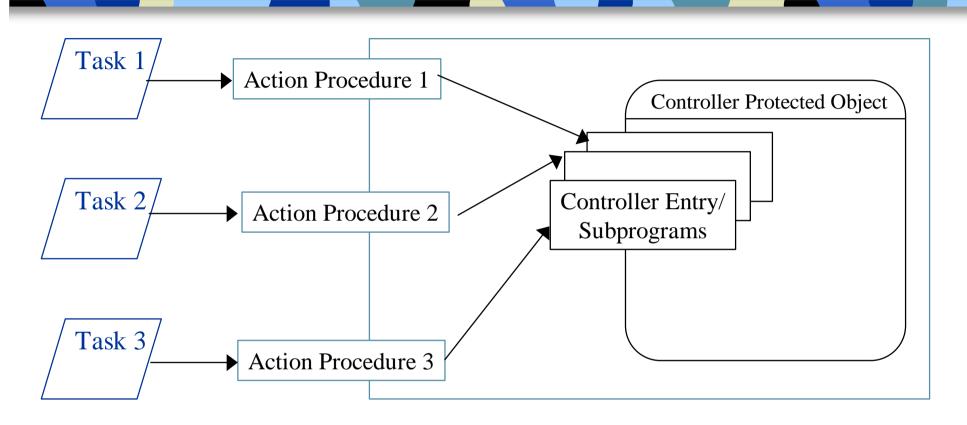
Here task A will wait for an entry call to become complete from tasks B or C. If none arrive before Seq has finished its execution, C will be cancelled and then B will potentially also be cancelled.

Interaction with Exceptions

task body Server is	select
begin	<pre>Server.ATC_Event;</pre>
 accept ATC_Event do	Seq3;
	then abort
Seq2;	Seq1
end ATC_Event;	end select
•••	
end Server;	• • •

- If Seq1 raises an exception and the triggering event does not occur, the exception is propagated from the select statement
- If the triggering event occurs, then any exception raised by S1 is lost (to avoid the possibility of parallel exceptions being raised from the select statement)

Ada and Atomic Actions



- Each atomic action is implemented by a package
- Roles are identified, each role is represented by a procedure in the package specification
- A task must associate itself with a role
- Each role can only have one active task

Backward Error Recovery: Conversations

```
package Conversation is
    procedure T1(Params : Param); -- called by task 1
    procedure T2(Params : Param); -- called by task 2
    procedure T3(Params : Param); -- called by task 3
    Atomic_Action_Failure : exception;
end Conversation;
```

- Each procedure will contain a recovery block
- We will use ATC to inform each task if one of the other tasks in the conversation has failed

```
with Recovery_Cache;
package body Conversation is
```

```
Primary_Failure, Secondary_Failure,
 Tertiary Failure : exception;
type Module is (Primary, Secondary, Tertiary);
protected Controller is
  entry Wait_Abort;
  entry Done;
  entry Cleanup;
  procedure Signal Abort;
private
  Killed : Boolean := False;
  Releasing Done : Boolean := False;
  Releasing_Cleanup : Boolean := False;
  Informed : Integer := 0;
end Controller;
```

The Protected Controller

- The Wait_Abort entry is the asynchronous event on which the tasks will wait whilst performing their part of the action
- Each task calls <u>Done</u> if it has finished without error; only when all three tasks have called <u>Done</u> will they be allowed to leave
- If a task recognises an error condition (because of a raised exception or the failure of the acceptance test), it will call Signal_Abort; this will set the flag Killed to true
- Note, that as backward error recovery will be performed, the tasks are not concerned with the actual cause of the error
- When Killed becomes true, all tasks in the action receive the asynchronous event and undertake recovery
- Once the event has been handled, all tasks must wait on Cleanup so that they all can leave the conversation together

-- local PO for communication between actions **protected body** Controller **is**

```
entry Wait_Abort when Killed is
begin
  Informed := Informed + 1;
  if Informed = 3 then
    Killed := False;
    Informed := 0;
  end if;
end Wait_Abort;
procedure Signal_Abort is
begin
  Killed := True;
end Signal_Abort;
```

```
entry Done when Done'Count = 3 or
    Releasing Done is
begin
  if Done'Count > 0 then Releasing_Done := True;
  else Releasing Done := False; end if;
end Done;
entry Cleanup when Cleanup'Count = 3 or
    Releasing Cleanup is
begin
  if Cleanup'Count > 0 then
    Releasing_Cleanup := True;
  else Releasing_Cleanup := False; end if;
end Cleanup;
```

end Controller;

procedure T1 (Params : Param) is procedure T1_Primary is begin select Controller.Wait Abort; Controller.Cleanup; -- wait for all to finish raise Primary Failure; then abort begin -- code to implement atomic action, if Acceptance Test = Failed then Controller.Signal_Abort; else Controller.Done; end if; exception when others =>Controller.Signal_Abort; end; similarly for T1_Secondary

and T1 Tertiary

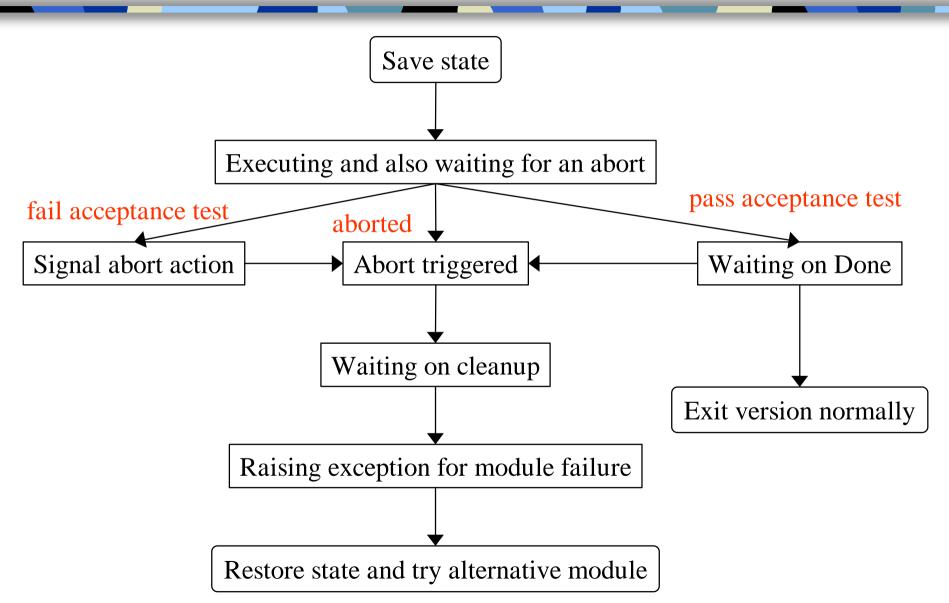
end select;

end T1 Primary;

begin

```
My_Recovery_Cache.Save(. . .);
    for Try in Module loop
      begin case Try is
          when Primary => T1_Primary; return;
          when Secondary => T1 Secondary; return;
          when Tertiary => T1 Tertiary; end case;
      exception
        when Primary Failure => My Recovery Cache.Restore(...);
        when Secondary_Failure => Recovery_Cache.Restore(...);
        when Tertiary Failure => Recovery Cache.Restore(...);
          raise Atomic Action Failure;
        when others => Recovery Cache.Restore(...);
          raise Atomic Action Failure;
      end;
    end loop;
  end T1 Part;
                                 similarly for T2
                                      and T3
end Conversation;
```

State Transition Diagram



Forward Error Recovery

package Action is
 procedure T1(Params: Param); -- called by task 1
 procedure T2(Params: Param); -- called by task 2
 procedure T3(Params: Param); -- called by task 3

Atomic_Action_Failure : exception;

end Action;

with Ada.Exceptions; use Ada.Exceptions;

```
package body Action is
  type Vote_T is (Commit, Aborted);
 protected Controller is
    entry Wait_Abort(E: out Exception_Id);
    entry Done;
    procedure Cleanup (Vote: Vote T);
    procedure Signal Abort(E: Exception Id);
    entry Wait Cleanup(Result : out Vote t);
 private
    Killed : Boolean := False;
    Releasing_Cleanup : Boolean := False;
    Releasing Done : Boolean := False;
    Reason : Exception Id := Null Id;
    Final_Result : Vote_t := Commit;
    Informed : Integer := 0;
  end Controller;
```

```
protected body Controller is
  entry Wait Abort(E: out Exception id) when Killed is
  begin
    E := Reason; Informed := Informed + 1;
    if Informed = 3 then
      Killed := False; Informed := 0;
    end if;
  end Wait Abort;
  entry Done when Done'Count = 3 or Releasing Done is
  begin
    if Done'Count > 0 then Releasing Done := True;
    else Releasing Done := False; end if;
  end Done;
  procedure Cleanup(Vote: Vote_T) is
  begin
    if Vote = Aborted then
      Final Result := Aborted;
    end if;
```

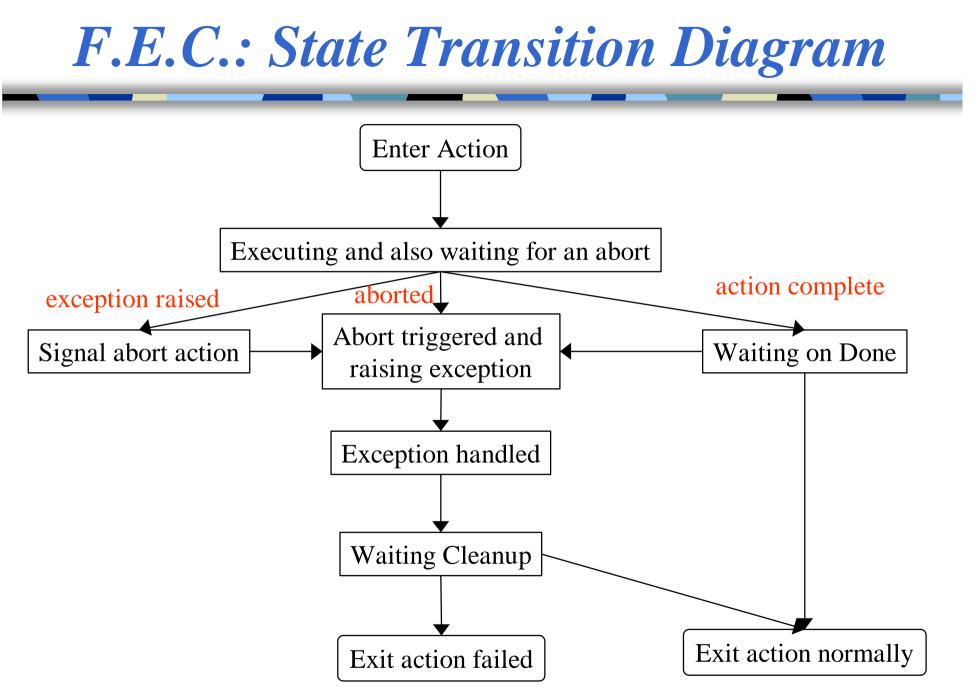
```
end Cleanup;
```

```
procedure Signal_Abort(E: Exception_id) is
begin
  Killed := True;
  Reason := E_i
end Signal_Abort;
entry Wait Cleanup (Result : out Vote T) when
      Wait_Cleanup'Count = 3 or Releasing_Cleanup is
begin
  Result := Final Result;
  if Wait Cleanup'Count > 0 then
    Releasing Cleanup := True;
  else
    Releasing Cleanup := False;
    Final Result := Commit;
  end if;
end Wait Cleanup;
```

```
end Controller;
```

procedure T1(Params: Param) is X : Exception Id; Decision : Vote T; begin select Controller.Wait Abort(X); Raise Exception(X); then abort begin -- code to implement atomic action Controller.Done; exception when E: others => Controller.Signal Abort(Exception Identity(E)); end; end select; exception when E: others => if Handled Ok then Controller.Cleanup(Commit); else Controller.Cleanup(Aborted); end if; Controller.Wait Cleanup(Decision); if Decision = Aborted then raise Atomic Action Failure; end if; end T1 Part; -- similarly for T2 and T3

end Action;



[©] Alan Burns and Andy Wellings, 2001

Asynchronous Transfer of Control in Java

Early versions of Java allowed one thread to asynchronously effect another thread through

- The stop method, causes the thread to stop its current activity and throw a ThreadDeath exception
- All of the above methods are now obsolete and therefore should not be used

ATC in Java

Standard Java now only supports (in the Thread class): public void interrupt() throws SecurityException; public boolean isInterrupted();

```
public void destroy();
```

- When a thread interrupts another thread:
 - If the interrupted thread is blocked in wait, sleep or join, it is made runnable and the InterruptedException is thrown
 - If the interrupted thread is executing, a flag is set indicating that an interrupt is outstanding; there is no immediate effect on the interrupted thread
 - Instead, the called thread must periodically test to see if it has been interrupted using the isInterrupted method
- The destroy method is similar to the Ada abort facility and destroys the thread without any cleanup

ATC in RTJ

- Similarities between Ada and RTJ models
 - it is necessary to indicate which regions of code can receive the ATC request
 - ATC are deferred during task/thread interaction and finalization
 - Differences
 - The RTJ model is integrated with the Java exception handling facility whereas the Ada model is integrated into the select statement and entry-handling mechanisms
 - The RTJ model requires each method to indicate that it is prepared to allow the ATC to occur; ATC are deferred until the thread is executing within such a method.
 - Ada's default is to allow the ATC if a subprogram has been called from within the select-then-abort statement; a deferred response must be explicitly handled

ATC in RTJ

- Allows one thread to interrupt another thread
- Integrated into the Java exception handling and interrupt facility
- RTJ requires each method to indicate if it is prepared to allow an ATC
- Use of ATC requires
 - declaring an AsynchronouslyInterruptedException (AIE)
 - identifying methods which can be interrupted using a throw clause
 - signaling an AsynchronouslyInterruptedException to a thread (t)
- Calling interrupt()throws a generic AIE
- ATC are deferred during synchronized methods and finally clauses

Example of ATC

```
import nonInterruptibleServices.*;
```

```
public class InterruptibleService
{ // AlE is short for AsynchronouslyInterruptedException
   public AIE stopNow = AIE.getGeneric();
   public boolean Service() throws AIE
   {
    //code interspersed with calls to nonInterruptibleServices
   }
}
```

public InterruptibleService IS = new InterruptibleService();

```
// code of thread, t
if(IS.Service()) { ... }
else { ... };
// now another thread interrupts t:
t.interrupt;
```

Semantics: when AIE is signalled

- If t is executing within an ATC-deferred section, the AIE is marked as pending
- If t is executing in a method which has no AIE declared in its throws list, the AIE is marked as pending
- A pending AIE is thrown as soon as t returns to (or enters) a method with an AIE declared in its throws list
- If t is executing within a try block within a method which has declared an AIE in its throws list, the try block is terminated and control is transferred to catch clauses; if no appropriate catch clause is found, the AIE is propagated to the calling method
- If an appropriate handler is executed, processing of the AIE is completed (unless the AIE is propagated from within the handler)
- If t is executing outside a try block within a method which has declared an AIE in its throws list, the method is terminated and the AIE is thrown immediately in the calling method © Alan Burns and Andy Wellings, 2001

Semantics: when AIE is signalled

- If t is blocked inside a wait, sleep or join method called from within a method which has an AIE declared in its throws list, t is rescheduled and the AIE is thrown
- If t is blocked inside a wait, sleep or join method called from within a method which has no AIE declared in its throws list, t is rescheduled and the AIE is marked as pending

Catching an AIE

- Once an ATC has been thrown and control is passed to an appropriate exception handler, it is necessary to ascertain whether the caught ATC is the one expected by the interrupted thread.
- If it is, the exception can be handled.
- If it is not, the exception should be propagated to the calling method.
- The happened method defined in the class AsynchronouslyInterruptedException is used for this purpose

Example Continued

```
import NonInterruptibleServices.*;
public class InterruptibleService
  public AIE stopNow = AIE.getGeneric();
  public boolean Service() throws AIE
    try {
      //code interdispersed with calls to NonInterruptibleServices
    catch AIE AI {
      if(stopNow.happened(true)) { //handle the ATC }
      // no else clause, the true parameter indicates that
      // if the current exception is not stopNow,
      // it is to be immediately propagated
      // to the calling method
```

Alternative Handler

```
catch AIE AI {
   if(stopNow.happened(false)) {
     //handle the ATC
   } else {
     //cleanup
     AI.propagate();
   }
}
```

AIE

```
public synchronized void disable();
```

. . .

```
public boolean doInterruptible (Interruptible logic);
```

```
public synchronized boolean enable();
```

```
public synchronized boolean fire();
```

```
public boolean happened (boolean propagate);
```

```
public static AsynchronouslyInterruptedException getGeneric();
// returns the AsynchronouslyInterruptedException which
// is generated when RealtimeThread.interrupt() is invoked
public void propagate();
```

Interruptible

public interface Interruptible

```
public void interruptAction (
```

AsynchronouslyInterruptedException exception);

```
public void run (
```

}

AsynchronouslyInterruptedException exception) throws AsynchronouslyInterruptedException;

```
An object which wishes to provide an interruptible method
does so by implementing the Interruptible interface.
```

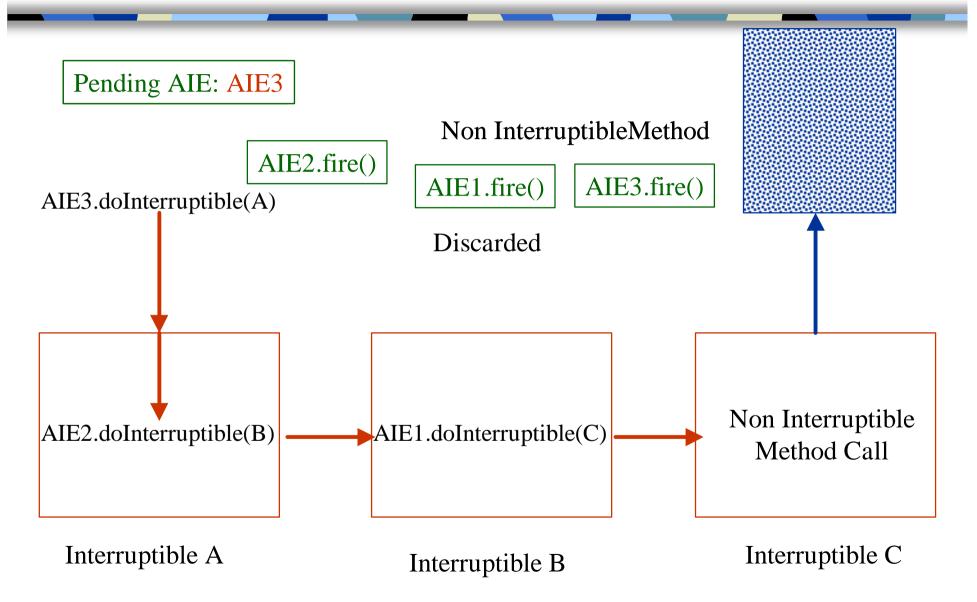
The run method is the method that is interruptible; the interruptedAction method is called by the system if the run method is interrupted

Interruptible

- Once this interface is implemented, the implementation can be passed as a parameter to the doInterruptible method in the AIE class
- The method can then be interrupted by calling the fire method in the AIE class
- Further control over the AIE is given by
 - disable
 - enable
 - isEnabled
- A disabled AIE is deferred until it is enabled

Only one task can be executing a doInterruptible at once





Timeouts (see later)

- With Real-Time Java, there is a subclass of AsynchronouslyInterruptedException called Timed
- Both absolute and Realtive times can be used

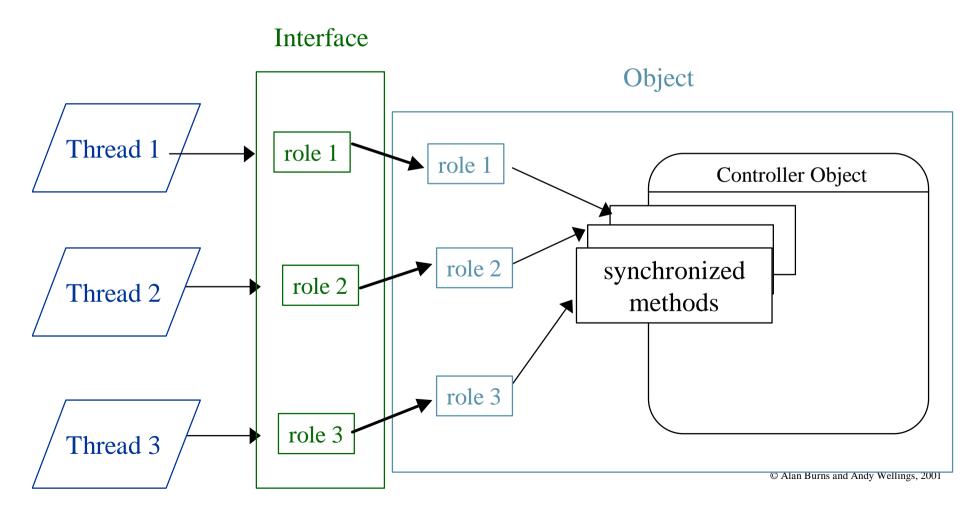
```
public class Timed extends AsynchronouslyInterruptedException
implements java.io.Serializable
{
    public Timed(HighResolutionTime time) throws
        IllegalArgumentException;
```

public boolean doInterruptible (Interruptible logic);

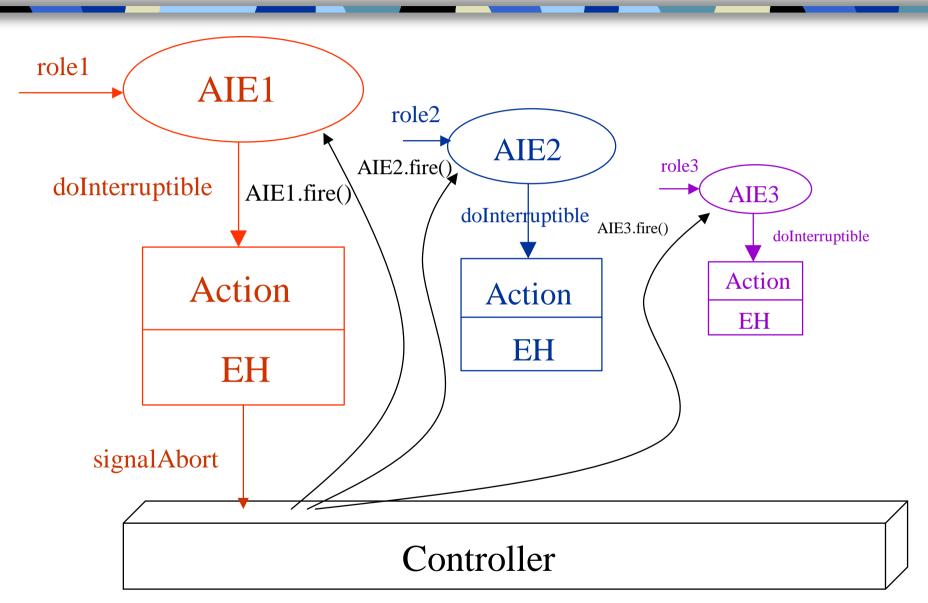
public void resetTime(HighResolutionTime time);

RTJ and Atomic Actions

 Consider forward error recovery, use same approach as Ada



Use of doInterruptible



© Alan Burns and Andy Wellings, 2001

RTJ and Atomic Actions

import javax.realtime.AIE;

```
public class AtomicActionException extends AIE
{
   public static Exception cause;
   public static boolean wasInterrupted;
   }
}
```

public class AtomicActionFailure extends Exception
{};

```
public interface ThreeWayRecoverableAtomicAction {
   public void role1() throws AtomicActionFailure;
   public void role2() throws AtomicActionFailure;
   public void role3() throws AtomicActionFailure;
}
```

private AtomicActionException aae1, aae2, aae3;

```
public RecoverableAction() { // constructor
Control = new RecoverableController();
// for recovery
aae1 = new AtomicActionException();
aae2 = new AtomicActionException();
aae3 = new AtomicActionException(); }
```

```
class RecoverableController {
   protected boolean firstHere, secondHere, thirdHere;
   protected int allDone;
   protected int toExit, needed;
   protected int numberOfParticipants;
   private boolean committed = commit;
```

```
RecoverableController() { // constructor
```

```
// for synchronization
firstHere = false;
secondHere = false;
thirdHere = false;
allDone = 0;
numberOfParticipants = 3;
toExit = numberOfParticipants;
needed = numberOfParticipants;
```

```
synchronized void first() throws InterruptedException
{ while(firstHere) wait();
   firstHere = true; }
```

```
synchronized void second() throws InterruptedException
{ while(secondHere) wait();
   secondHere = true; }
```

```
synchronized void third() throws InterruptedException
{ while(thirdHere) wait();
  thirdHere = true;}
```

```
synchronized void signalAbort(Exception e) {
  allDone = 0;
  AtomicActionException.cause = e;
  AtomicActionException.wasInterrupted = true;
  // raise an AsynchronouslyInterruptedException
  // in all participants
  aae1.fire();
  aae2.fire();
}
```

```
private void reset() {
  firstHere = false; secondHere = false;
  thirdHere = false; allDone = 0;
  toExit = numberOfParticipants;
  needed = numberOfParticipants;
  notifyAll();
synchronized void done() throws InterruptedException
  allDone++;
  if(allDone == needed) {
    notifyAll();
  } else while(allDone != needed) {
       wait();
  toExit--;
  if(toExit == 0) {
    reset();
```

```
synchronized void cleanup(boolean abort)
{ if(abort) { committed = false; }; }
```

```
synchronized boolean waitCleanup()
               throws InterruptedException
   allDone++;
    if(allDone == needed) {
     notifyAll();
    } else while(allDone != needed) {
         wait();
   toExit--;
    if(toExit == 0) {
     reset();
   return committed;
 };
};
```

```
boolean Ok;
// no AIE until inside the atomic action
boolean done = false;
while(!done) {
  try {
    Control.first();
    done = true;
  } catch (InterruptedException e) {
    // ignore
  }
}
```

```
Ok = aael.doInterruptible
  (new Interruptible() {
      public void run(AIE e) throws AIE {
        try {
          // perform action
          // if necessary call e.disable() and e.enable
          // defer AIE
          Control.done();
        catch(Exception x) {
          if(x.getClass().getName() == "AIE")
            ((AIE) x).propagate();
          else
            Control.signalAbort(x);
      public void interruptAction(AIE e)
      { // no action required }
  );
```

```
if(!Ok) throw new AtomicActionFailure();
if(aae1.wasInterrupted) {
 try {
    // try to recover
    Control.cleanup(commit);
    if(Control.waitCleanup() != commit) {
       throw new AtomicActionFailure();
    };
  catch(Exception x) {
    throw new AtomicActionFailure();
};
```

```
public void role2() throws AtomicActionFailure, AIE
{// similar to role1 };
```

```
public void role3() throws AtomicActionFailure, AIE
{// similar to role1 };
```

}

Summary

- When processes interact, it is necessary to constrain their IPC so that recovery procedures can be programmed
- Atomic actions are a mechanism by which programs, consisting of many tasks, can be structured to facilitate damage confinement and error recovery
- Actions are atomic if they can be considered, so far as other processes are concerned, to be indivisible and instantaneous, such that the effects on the system are as if they are interleaved as opposed to concurrent
- An atomic action has well-defined boundaries and can be nested

Summary

- A conversation is an atomic action with backward error recovery facilities
- On entry, the state of the process is saved; whilst inside, a process is only allowed to communicate with other processes active in the conversation and general resource managers
- In order to leave, all processes active in the conversation must have passed their acceptance test
- If any process fails its acceptance test, all processes have their state restored and they execute their alternative modules.
- Conversations can be nested and if all alternatives in an inner conversation fail then recovery must be performed at an outer level

Summary

- Forward error recovery via exception handlers can also be added to atomic actions
- If an exception is raised by one process then all active in the action must handle it
- Two issues with this approach are the resolution of concurrently raised exceptions and exceptions in internal actions
- An asynchronous notification mechanism can be used to help program recovery
- POSIX provides signal and a thread cancelling mechanism
- A signal can be handled, blocked or ignored; unfortunately, it is not easy to program recoverable actions using a resumption model of asynchronous events

Summary

- Ada and Real-Time provide an asynchronous transfer of control mechanism based on the termination model
- Ada's is built on top of the select statement
- RTJ is integrated into the exception and thread interrupt mechanisms
- This termination approach, in combination with exceptions, allows for an elegant implementation of a recoverable action